



Sheet 1 of 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTORNEY DOCKET NO.	APPLICATION NO.
	1538.1012	09/803,153
	FIRST NAMED INVENTOR	
	Takao SAMUKAWA	
	FILING DATE	GROUP ART UNIT
	March 12, 2001	3628

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO		ABSTRACT
	AE						
	AF						
	AG						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

				TRANSLATION YES NO	
	AH	Japanese Office Action mailed June 7, 2005 in corresponding Japanese Patent Application No. 2001-043934.			X
gm	AI	"First step of the Internet Share Trading", NISHINA YUZO, Ikeda Inc., 1 st Edition, May 25, 2000, pp. 192-193.		X	
gm	AJ	"Beginning Internet Stock Trading with E* Trade", TORIYAMA RYUICHI, Softbank Publishing Inc., December 6, 1994, pp. 49-51.		X	
gm	AK	"Trading odd-lots via the Internet Imagawa Misawaya Securities intends deployment to the United States and Europe", The Nikkei Financial Daily, Nihon Keizai Shimbun Inc., October 11, 1998, pg. 3.		X	

EXAMINER 	DATE CONSIDERED 1/31/2006
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	